CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date. Cindy Kim Hoang **Typed or Printed Name** Date Signature UCAL-222 Attorney Docket INFORMATION WEISGRABER, KARL H. First Named Inventor DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e) **Application Number** 10/017,718 RECEIVED Confirmation No. 5282 OCT 1 7 2002 December 14, 2001 Filing Date Address to: Group Art Unit 1632 TECH CENTER 1600/2900 Assistant Commissioner for Pat To be assigned Washington, D.C. 20231 **Examiner Name** Title: "GENE-TARGETED ANIMAL MODEL OF OCT 1 5 2002 APOLIPOPROTEIN E4 DOMAIN INTERACTION

AND USES THEREOF"

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCAL-222.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

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Date: Oct. 9, 2002

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